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Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

PATENT  
Attorney Docket No.: 15303-000510  
Client Reference No.: 51838AUST1

On 8-27-01

TOWNSEND and TOWNSEND and CREW LLP

By: Linda Sheffer

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alan R. Brooks, *et al.*

Application No.: 09/803,472

Filed: March 9, 2001

For: NOVEL ESTROGEN-  
REGULATED G PROTEIN GAMMA  
SUBUNIT: COMPOSITIONS AND  
METHODS OF USE

Examiner: Unassigned

Art Unit: 1645

TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF MISSING  
PARTS

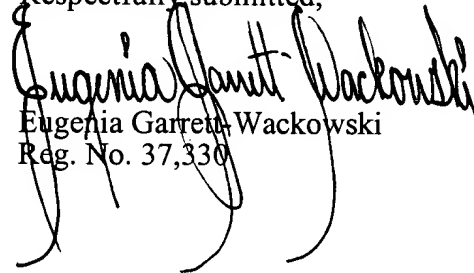
Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated April 27, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Petition to Extend Time
- 3) Communication Under 37 C.F.R. §§ 1.821-1.825 and Amendment
- 4) Copy of Notice of Missing Parts

Respectfully submitted,

  
Eugenia Garrett Wackowski  
Reg. No. 37,330

**Customer No. 20350**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 925 472-5000  
Fax: 415 576-0300  
EGW:lls

SF 1263658 v1



Please type a plus sign (+) inside this box → ☒

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/803,472		
	<b>Filing Date</b>	March 9, 2001	
	<b>First Named Inventor</b>	Brooks, Alan R.	
	<b>Group Art Unit</b>	1645	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	15303-000510

### ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return Postcard Declaration  
Communication Under 37 CFR 1.821-1.825 and Amendment w/paper copy and diskette containing sequence listing

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP (Eugenia Garrett Wackowski)	<b>Reg No.</b> 37,330
<b>Signature</b>		
<b>Date</b>	8/27/01	

### CERTIFICATE OF MAILING

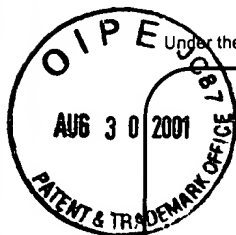
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8/27/01

<b>Typed or printed name</b>	Linda Shaffer		
<b>Signature</b>		<b>Date</b>	8/27/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 520

## Complete If Known

Application Number	09/803,472
Filing Date	March 9, 2001
First Named Inventor	Brooks, Alan R.
Examiner Name	Unassigned
Group Art Unit	1645
Attorney Docket No.	15303-000510

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: <b>20-1430</b>  Deposit Account Name: <b>Townsend and Townsend and Crew LLP</b> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>130</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> <td>390</td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eugenia Garrett-Wackowski	Registration No. (Attorney/Agent)	37,330
Signature	<i>Eugenia Garrett-Wackowski</i>	Telephone	925-472-5000
		Date	8/27/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,472	03/09/2001	Alan R. Brooks	15303-000510

20350  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 7251

## FORMALITIES LETTER



\*OC000000006017290\*

Date Mailed: 04/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

08/31/2001 HND061 00000039 201430 09803472  
01 FC:105 130.00 CH

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

*BJS*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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## FACSIMILE COVER SHEET

Date:

January 06, 2003

Client &amp; Matter Number :

-015303-000510US

No. Pages (including this one):

2

To:

Ms. Roxanne Rawls  
United States Patent and Trademark  
Office -Initial Patent Examination Division

At Fax Number:

1-703-308-7749

Confirmation Phone Number:

1-703-308-9481

From : Tom Haldeman (Townsend and Townsend and Crew)

(4262)

Message: Dear Ms. Rawls,

Please find attached to this fax a copy of return receipt postcard indicating the timely filing of the sequence listing we have been discussing. This is for US 09/803,472, filed March 3, 2001.

I will call you later to discuss what impact this document will have with regard to the waiver of fees for our resubmission.

Thank you

Tom Haldeman  
on behalf of  
Eugenia Garrett-Wackowski.

Original  
Will:

BE SENT BY MAIL

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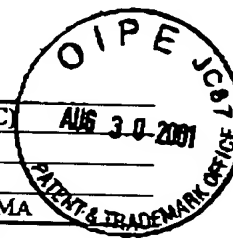
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PATENT APPLICATION  
FILING ACKNOWLEDGMENT

Mailing Date: 8/27/01 (Monday)  
File No.: 015303-000510 Attorney: EGW/lls (WC)  
Serial No. 09/802,373  
Inventor(s): Alan R. Brooks, et al.  
Title: NOVEL ESTROGEN-REGULATED G PROTEIN GAMMA  
SUBUNIT: COMPOSITIONS AND METHODS OF USE  
Due Date: 8/25/01 (Saturday)



Transmittal form, Fee transmittal (in duplicate), Petition for extension of time, Response to notice of missing parts, Executed declaration, Communication under 37 CFR 1.821-1.825 and amendment w/paper copy and diskette containing sequence listing, and copy of Notice

Please stamp the date of receipt of the enclosed documents and return this card to addressee

SEP 19 2001